

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50
51

**Chapter 8C.
Evidence Code.**

§ 8C-1. Rules of Evidence.

The North Carolina Rules of Evidence are as follows:

Article 1.
General Provisions.

Rule 101. Scope.

These rules govern proceedings in the courts of this State to the extent and with the exceptions stated in Rule 1101. (1983, ch. 701, s. 1.)

Rule 102. Purpose and construction.

(a) In general. – These rules shall be construed to secure fairness in administration, elimination of unjustifiable expense and delay, and promotion of growth and development of the law of evidence to the end that the truth may be ascertained and proceedings justly determined.

(b) Subordinate divisions. – For the purpose of these rules only, the subordinate division of any rule which is labeled with a lower case letter shall be a subdivision. (1983, c. 701, s. 1.)

Rule 103. Rulings on evidence.

(a) Effect of erroneous ruling. – Error may not be predicated upon a ruling which admits or excludes evidence unless a substantial right of the party is affected, and

(1) Objection. – In case the ruling is one admitting evidence, a timely objection or motion to strike appears of record. No particular form is required in order to preserve the right to assert the alleged error upon appeal if the motion or objection clearly presented the alleged error to the trial court;

(2) Offer of proof. – In case the ruling is one excluding evidence, the substance of the evidence was made known to the court by offer or was apparent from the context within which questions were asked.

Once the court makes a definitive ruling on the record admitting or excluding evidence, either at or before trial, a party need not renew an objection or offer of proof to preserve a claim of error for appeal.

(b) Record of offer and ruling. – The court may add any other or further statement which shows the character of the evidence, the form in which it was offered, the objection made, and the ruling thereon. It may direct the making of an offer in question and answer form.

(c) Hearing of jury. – In jury cases, proceedings shall be conducted, to the extent practicable, so as to prevent inadmissible evidence from being suggested to the jury by any means, such as making statements or offers of proof or asking questions in the hearing of the jury.

(d) Review of errors where justice requires. – Notwithstanding the requirements of subdivision (a) of this rule, an appellate court may review errors affecting substantial rights if it determines, in the interest of justice, it is appropriate to do so. (1983, c. 701, s. 1; 2003-101, s. 1; 2006-264, s. 30.5.)

Rule 104. Preliminary questions.

(a) Questions of admissibility generally. – Preliminary questions concerning the qualification of a person to be a witness, the existence of a privilege, or the admissibility of evidence shall be determined by the court, subject to the provisions of subdivision (b). In making its determination it is not bound by the rules of evidence except those with respect to privileges.

1 (b) Relevancy conditioned on fact. – When the relevancy of evidence depends upon the
2 fulfillment of a condition of fact, the court shall admit it upon, or subject to, the introduction of
3 evidence sufficient to support a finding of the fulfillment of the condition.

4 (c) Hearing of jury. – Hearings on the admissibility of confessions or other motions to
5 suppress evidence in criminal trials in Superior Court shall in all cases be conducted out of the
6 hearing of the jury. Hearings on other preliminary matters shall be so conducted when the
7 interests of justice require or, when an accused is a witness, if he so requests.

8 (d) Testimony by accused. – The accused does not, by testifying upon a preliminary
9 matter, subject himself to cross-examination as to other issues in the case.

10 (e) Weight and credibility. – This rule does not limit the right of a party to introduce
11 before the jury evidence relevant to weight or credibility. (1983, ch. 701, s. 1.)
12

13 **Rule 105. Limited admissibility.**

14 When evidence which is admissible as to one party or for one purpose but not admissible as
15 to another party or for another purpose is admitted, the court, upon request, shall restrict the
16 evidence to its proper scope and instruct the jury accordingly. (1983, c. 701, s. 1.)
17

18 **Rule 106. Remainder of or related writings or recorded statements.**

19 When a writing or recorded statement or part thereof is introduced by a party, an adverse
20 party may require him at that time to introduce any other part or any other writing or recorded
21 statement which ought in fairness to be considered contemporaneously with it. (1983, c. 701, s.
22 1.)
23

24 Article 2.

25 Judicial Notice.

26 **Rule 201. Judicial notice of adjudicative facts.**

27 (a) Scope of rule. – This rule governs only judicial notice of adjudicative facts.

28 (b) Kinds of facts. – A judicially noticed fact must be one not subject to reasonable
29 dispute in that it is either (1) generally known within the territorial jurisdiction of the trial court
30 or (2) capable of accurate and ready determination by resort to sources whose accuracy cannot
31 reasonably be questioned.

32 (c) When discretionary. – A court may take judicial notice, whether requested or not.

33 (d) When mandatory. – A court shall take judicial notice if requested by a party and
34 supplied with the necessary information.

35 (e) Opportunity to be heard. – In a trial court, a party is entitled upon timely request to
36 an opportunity to be heard as to the propriety of taking judicial notice and the tenor of the
37 matter noticed. In the absence of prior notification, the request may be made after judicial
38 notice has been taken.

39 (f) Time of taking notice. – Judicial notice may be taken at any stage of the proceeding.

40 (g) Instructing jury. – In a civil action or proceeding, the court shall instruct the jury to
41 accept as conclusive any fact judicially noticed. In a criminal case, the court shall instruct the
42 jury that it may, but is not required to, accept as conclusive any fact judicially noticed. (1983, c.
43 701, s. 1.)
44

45 Article 3.

46 Presumptions in Civil Actions and Proceedings.

47 **Rule 301. Presumptions in general in civil actions and proceedings.**

48 In all civil actions and proceedings when not otherwise provided for by statute, by judicial
49 decision, or by these rules, a presumption imposes on the party against whom it is directed the
50 burden of going forward with evidence to rebut or meet the presumption, but does not shift to
51 such party the burden of proof in the sense of the risk of nonpersuasion, which remains

1 throughout the trial upon the party on whom it was originally cast. The burden of going
2 forward is satisfied by the introduction of evidence sufficient to permit reasonable minds to
3 conclude that the presumed fact does not exist. If the party against whom a presumption
4 operates fails to meet the burden of producing evidence, the presumed fact shall be deemed
5 proved, and the court shall instruct the jury accordingly. When the burden of producing
6 evidence to meet a presumption is satisfied, the court must instruct the jury that it may, but is
7 not required to, infer the existence of the presumed fact from the proved fact. (1983, c. 701, s.
8 1.)

9
10 **Rule 302. Applicability of federal law in civil actions and proceedings.**

11 In civil actions and proceedings, the effect of a presumption respecting a fact which is an
12 element of a claim or defense as to which federal law supplies the rule of decision is
13 determined in accordance with federal law. (1983, c. 701, s. 1.)

14
15 Article 4.

16 Relevancy and Its Limits.

17 **Rule 401. Definition of "relevant evidence."**

18 "Relevant evidence" means evidence having any tendency to make the existence of any fact
19 that is of consequence to the determination of the action more probable or less probable than it
20 would be without the evidence. (1983, c. 701, s. 1.)

21
22 **Rule 402. Relevant evidence generally admissible; irrelevant evidence inadmissible.**

23 All relevant evidence is admissible, except as otherwise provided by the Constitution of the
24 United States, by the Constitution of North Carolina, by Act of Congress, by Act of the General
25 Assembly or by these rules. Evidence which is not relevant is not admissible. (1983, c. 701, s.
26 1.)

27
28 **Rule 403. Exclusion of relevant evidence on grounds of prejudice, confusion, or waste of
29 time.**

30 Although relevant, evidence may be excluded if its probative value is substantially
31 outweighed by the danger of unfair prejudice, confusion of the issues, or misleading the jury, or
32 by considerations of undue delay, waste of time, or needless presentation of cumulative
33 evidence. (1983, c. 701, s. 1.)

34
35 **Rule 404. Character evidence not admissible to prove conduct; exceptions; other crimes.**

36 (a) Character evidence generally. – Evidence of a person's character or a trait of his
37 character is not admissible for the purpose of proving that he acted in conformity therewith on a
38 particular occasion, except:

- 39 (1) Character of accused. – Evidence of a pertinent trait of his character offered
40 by an accused, or by the prosecution to rebut the same;
- 41 (2) Character of victim. – Evidence of a pertinent trait of character of the victim
42 of the crime offered by an accused, or by the prosecution to rebut the same,
43 or evidence of a character trait of peacefulness of the victim offered by the
44 prosecution in a homicide case to rebut evidence that the victim was the first
45 aggressor;
- 46 (3) Character of witness. – Evidence of the character of a witness, as provided in
47 Rules 607, 608, and 609.

48 (b) Other crimes, wrongs, or acts. – Evidence of other crimes, wrongs, or acts is not
49 admissible to prove the character of a person in order to show that he acted in conformity
50 therewith. It may, however, be admissible for other purposes, such as proof of motive,
51 opportunity, intent, preparation, plan, knowledge, identity, or absence of mistake, entrapment

1 or accident. Admissible evidence may include evidence of an offense committed by a juvenile
2 if it would have been a Class A, B1, B2, C, D, or E felony if committed by an adult. (1983, c.
3 701, s. 1; 1994, Ex. Sess., c. 7, s. 3; 1995, c. 509, s. 7.)
4

5 **Rule 405. Methods of proving character.**

6 (a) Reputation or opinion. – In all cases in which evidence of character or a trait of
7 character of a person is admissible, proof may be made by testimony as to reputation or by
8 testimony in the form of an opinion. On cross-examination, inquiry is allowable into relevant
9 specific instances of conduct. Expert testimony on character or a trait of character is not
10 admissible as circumstantial evidence of behavior.

11 (b) Specific instances of conduct. – In cases in which character or a trait of character of
12 a person is an essential element of a charge, claim, or defense, proof may also be made of
13 specific instances of his conduct. (1983, c. 701, s. 1.)
14

15 **Rule 406. Habit; routine practice.**

16 Evidence of the habit of a person or of the routine practice of an organization, whether
17 corroborated or not and regardless of the presence of eyewitnesses, is relevant to prove that the
18 conduct of the person or organization on a particular occasion was in conformity with the habit
19 or routine practice. (1983, c. 701, s. 1.)
20

21 **Rule 407. Subsequent remedial measures.**

22 When, after an event, measures are taken which, if taken previously, would have made the
23 event less likely to occur, evidence of the subsequent measures is not admissible to prove
24 negligence or culpable conduct in connection with the event. This rule does not require the
25 exclusion of evidence of subsequent measures when offered for another purpose, such as
26 proving ownership, control, or feasibility of precautionary measures, if those issues are
27 controverted, or impeachment. (1983, c. 701, s. 1.)
28

29 **Rule 408. Compromise and offers to compromise.**

30 Evidence of (1) furnishing or offering or promising to furnish, or (2) accepting or offering
31 or promising to accept, a valuable consideration in compromising or attempting to compromise
32 a claim which was disputed as to either validity or amount, is not admissible to prove liability
33 for or invalidity of the claim or its amount. Evidence of conduct or evidence of statements
34 made in compromise negotiations is likewise not admissible. This rule does not require the
35 exclusion of any evidence otherwise discoverable merely because it is presented in the course
36 of compromise negotiations. This rule also does not require exclusion when the evidence is
37 offered for another purpose, such as proving bias or prejudice of a witness, negating a
38 contention of undue delay, or proving an effort to obstruct a criminal investigation or
39 prosecution. (1983, c. 701, s. 1.)
40

41 **Rule 409. Payment of medical and other expenses.**

42 Evidence of furnishing or offering or promising to pay medical, hospital, or other expenses
43 occasioned by an injury is not admissible to prove liability for the injury. (1983, c. 701, s. 1.)
44

45 **Rule 410. Inadmissibility of pleas, plea discussions, and related statements.**

46 Except as otherwise provided in this rule, evidence of the following is not, in any civil or
47 criminal proceeding, admissible for or against the defendant who made the plea or was a
48 participant in the plea discussions:

- 49 (1) A plea of guilty which was later withdrawn;
50 (2) A plea of no contest;

- 1 (3) Any statement made in the course of any proceedings under Article 58 of
2 Chapter 15A of the General Statutes or comparable procedure in district
3 court, or proceedings under Rule 11 of the Federal Rules of Criminal
4 Procedure or comparable procedure in another state, regarding a plea of
5 guilty which was later withdrawn or a plea of no contest;
6 (4) Any statement made in the course of plea discussions with an attorney for
7 the prosecuting authority which do not result in a plea of guilty or which
8 result in a plea of guilty later withdrawn.

9 However, such a statement is admissible in any proceeding wherein another statement made
10 in the course of the same plea or plea discussions has been introduced and the statement ought
11 in fairness be considered contemporaneously with it. (1983, c. 701, s. 1.)
12

13 **Rule 411. Liability insurance.**

14 Evidence that a person was or was not insured against liability is not admissible upon the
15 issue whether he acted negligently or otherwise wrongfully. This rule does not require the
16 exclusion of evidence of insurance against liability when offered for another purpose, such as
17 proof of agency, ownership, or control, or bias or prejudice of a witness. (1983, c. 701, s. 1.)
18

19 **Rule 412. Rape or sex offense cases; relevance of victim's past behavior.**

20 (a) As used in this rule, the term "sexual behavior" means sexual activity of the
21 complainant other than the sexual act which is at issue in the indictment on trial.

22 (b) Notwithstanding any other provision of law, the sexual behavior of the complainant
23 is irrelevant to any issue in the prosecution unless such behavior:

- 24 (1) Was between the complainant and the defendant; or
25 (2) Is evidence of specific instances of sexual behavior offered for the purpose
26 of showing that the act or acts charged were not committed by the defendant;
27 or
28 (3) Is evidence of a pattern of sexual behavior so distinctive and so closely
29 resembling the defendant's version of the alleged encounter with the
30 complainant as to tend to prove that such complainant consented to the act or
31 acts charged or behaved in such a manner as to lead the defendant
32 reasonably to believe that the complainant consented; or
33 (4) Is evidence of sexual behavior offered as the basis of expert psychological or
34 psychiatric opinion that the complainant fantasized or invented the act or
35 acts charged.

36 (c) Sexual behavior otherwise admissible under this rule may not be proved by
37 reputation or opinion.

38 (d) Notwithstanding any other provision of law, unless and until the court determines
39 that evidence of sexual behavior is relevant under subdivision (b), no reference to this behavior
40 may be made in the presence of the jury and no evidence of this behavior may be introduced at
41 any time during the trial of:

- 42 (1) A charge of rape or a lesser included offense of rape;
43 (2) A charge of a sex offense or a lesser included offense of a sex offense; or
44 (3) An offense being tried jointly with a charge of rape or a sex offense, or with
45 a lesser included offense of rape or a sex offense.

46 Before any questions pertaining to such evidence are asked of any witness, the proponent of
47 such evidence shall first apply to the court for a determination of the relevance of the sexual
48 behavior to which it relates. The proponent of such evidence may make application either prior
49 to trial pursuant to G.S. 15A-952, or during the trial at the time when the proponent desires to
50 introduce such evidence. When application is made, the court shall conduct an in camera
51 hearing, which shall be transcribed, to consider the proponent's offer of proof and the argument

1 of counsel, including any counsel for the complainant, to determine the extent to which such
2 behavior is relevant. In the hearing, the proponent of the evidence shall establish the basis of
3 admissibility of such evidence. Notwithstanding subdivision (b) of Rule 104, if the relevancy of
4 the evidence which the proponent seeks to offer in the trial depends upon the fulfillment of a
5 condition of fact, the court, at the in camera hearing or at a subsequent in camera hearing
6 scheduled for that purpose, shall accept evidence on the issue of whether that condition of fact
7 is fulfilled and shall determine that issue. If the court finds that the evidence is relevant, it shall
8 enter an order stating that the evidence may be admitted and the nature of the questions which
9 will be permitted.

10 (e) The record of the in camera hearing and all evidence relating thereto shall be open
11 to inspection only by the parties, the complainant, their attorneys and the court and its agents,
12 and shall be used only as necessary for appellate review. At any probable cause hearing, the
13 judge shall take cognizance of the evidence, if admissible, at the end of the in camera hearing
14 without the questions being repeated or the evidence being resubmitted in open court. (1983, c.
15 701, s. 1.)
16

17 **Rule 413. Medical actions; statements to ameliorate or mitigate adverse outcome.**

18 Statements by a health care provider apologizing for an adverse outcome in medical
19 treatment, offers to undertake corrective or remedial treatment or actions, and gratuitous acts to
20 assist affected persons shall not be admissible to prove negligence or culpable conduct by the
21 health care provider in an action brought under Article 1B of Chapter 90 of the General
22 Statutes. (2004-149, s. 3.1.)
23

24 **Rule 414. Evidence of medical expenses.**

25 Evidence offered to prove past medical expenses shall be limited to evidence of the
26 amounts actually paid to satisfy the bills that have been satisfied, regardless of the source of
27 payment, and evidence of the amounts actually necessary to satisfy the bills that have been
28 incurred but not yet satisfied. This rule does not impose upon any party an affirmative duty to
29 seek a reduction in billed charges to which the party is not contractually entitled. (2011-283, s.
30 1.1.)
31

32 Article 5.
33 Privileges.

34 **Rule 501. General rule.**

35 Except as otherwise required by the Constitution of the United States, the privileges of a
36 witness, person, government, state, or political subdivision thereof shall be determined in
37 accordance with the law of this State. (1983, c. 701, s. 1.)
38

39 Article 6.
40 Witnesses.

41 **Rule 601. General rule of competency; disqualification of witness.**

42 (a) General rule. – Every person is competent to be a witness except as otherwise
43 provided in these rules.

44 (b) Disqualification of witness in general. – A person is disqualified to testify as a
45 witness when the court determines that the person is (1) incapable of expressing himself or
46 herself concerning the matter as to be understood, either directly or through interpretation by
47 one who can understand him or her, or (2) incapable of understanding the duty of a witness to
48 tell the truth.

49 (c) Disqualification of interested persons. – Upon the trial of an action, or the hearing
50 upon the merits of a special proceeding, a party or a person interested in the event, or a person
51 from, through or under whom such a party or interested person derives his or her interest or title

1 by assignment or otherwise, shall not be examined as a witness in his or her own behalf or
2 interest, or in behalf of the party succeeding to his or her title or interest, against the executor,
3 administrator or survivor of a deceased person, or the guardian of an incompetent person, or a
4 person deriving his or her title or interest from, through or under a deceased or incompetent
5 person by assignment or otherwise, concerning any oral communication between the witness
6 and the deceased or incompetent person. However, this subdivision shall not apply when:

- 7 (1) The executor, administrator, survivor, guardian, or person so deriving title or
8 interest is examined in his or her own behalf regarding the subject matter of
9 the oral communication.
- 10 (2) The testimony of the deceased or incompetent person is given in evidence
11 concerning the same transaction or communication.
- 12 (3) Evidence of the subject matter of the oral communication is offered by the
13 executor, administrator, survivor, guardian or person so deriving title or
14 interest.

15 Nothing in this subdivision shall preclude testimony as to the identity of the operator of a motor
16 vehicle in any case. (1983, c. 701, s. 1; 2011-29, s. 2.)
17

18 **Rule 602. Lack of personal knowledge.**

19 A witness may not testify to a matter unless evidence is introduced sufficient to support a
20 finding that he has personal knowledge of the matter. Evidence to prove personal knowledge
21 may, but need not, consist of the testimony of the witness himself. This rule is subject to the
22 provisions of Rule 703, relating to opinion testimony by expert witnesses. (1983, c. 701, s. 1.)
23

24 **Rule 603. Oath or affirmation.**

25 Before testifying, every witness shall be required to declare that he will testify truthfully, by
26 oath or affirmation administered in a form calculated to awaken his conscience and impress his
27 mind with his duty to do so. (1983, c. 701, s. 1.)
28

29 **Rule 604. Interpreters.**

30 An interpreter is subject to the provisions of these rules relating to qualification as an expert
31 and the administration of an oath or affirmation that he will make a true translation. (1983, c.
32 701, s. 1.)
33

34 **Rule 605. Competency of judge as witness.**

35 The judge presiding at the trial may not testify in that trial as a witness. No objection need
36 be made in order to preserve the point. (1983, c. 701, s. 1.)
37

38 **Rule 606. Competency of juror as witness.**

39 (a) At the trial. – A member of the jury may not testify as a witness before that jury in
40 the trial of the case in which he is sitting as a juror. If he is called so to testify, the opposing
41 party shall be afforded an opportunity to object out of the presence of the jury.

42 (b) Inquiry into validity of verdict or indictment. – Upon an inquiry into the validity of
43 a verdict or indictment, a juror may not testify as to any matter or statement occurring during
44 the course of the jury's deliberations or to the effect of anything upon his or any other juror's
45 mind or emotions as influencing him to assent to or dissent from the verdict or indictment or
46 concerning his mental processes in connection therewith, except that a juror may testify on the
47 question whether extraneous prejudicial information was improperly brought to the jury's
48 attention or whether any outside influence was improperly brought to bear upon any juror. Nor
49 may his affidavit or evidence of any statement by him concerning a matter about which he
50 would be precluded from testifying be received for these purposes. (1983, c. 701, s. 1.)
51

1 **Rule 607. Who may impeach.**

2 The credibility of a witness may be attacked by any party, including the party calling him.
3 (1983, c. 701, s. 1.)
4

5 **Rule 608. Evidence of character and conduct of witness.**

6 (a) Opinion and reputation evidence of character. – The credibility of a witness may be
7 attacked or supported by evidence in the form of reputation or opinion as provided in Rule
8 405(a), but subject to these limitations: (1) the evidence may refer only to character for
9 truthfulness or untruthfulness, and (2) evidence of truthful character is admissible only after the
10 character of the witness for truthfulness has been attacked by opinion or reputation evidence or
11 otherwise.

12 (b) Specific instances of conduct. – Specific instances of the conduct of a witness, for
13 the purpose of attacking or supporting his credibility, other than conviction of crime as
14 provided in Rule 609, may not be proved by extrinsic evidence. They may, however, in the
15 discretion of the court, if probative of truthfulness or untruthfulness, be inquired into on
16 cross-examination of the witness (1) concerning his character for truthfulness or untruthfulness,
17 or (2) concerning the character for truthfulness or untruthfulness of another witness as to which
18 character the witness being cross-examined has testified.

19 The giving of testimony, whether by an accused or by any other witness, does not operate
20 as a waiver of his privilege against self-incrimination when examined with respect to matters
21 which relate only to credibility. (1983, c. 701, s. 1.)
22

23 **Rule 609. Impeachment by evidence of conviction of crime.**

24 (a) General rule. – For the purpose of attacking the credibility of a witness, evidence
25 that the witness has been convicted of a felony, or of a Class A1, Class 1, or Class 2
26 misdemeanor, shall be admitted if elicited from the witness or established by public record
27 during cross-examination or thereafter.

28 (b) Time limit. – Evidence of a conviction under this rule is not admissible if a period
29 of more than 10 years has elapsed since the date of the conviction or of the release of the
30 witness from the confinement imposed for that conviction, whichever is the later date, unless
31 the court determines, in the interests of justice, that the probative value of the conviction
32 supported by specific facts and circumstances substantially outweighs its prejudicial effect.
33 However, evidence of a conviction more than 10 years old as calculated herein is not
34 admissible unless the proponent gives to the adverse party sufficient advance written notice of
35 intent to use such evidence to provide the adverse party with a fair opportunity to contest the
36 use of such evidence.

37 (c) Effect of pardon. – Evidence of a conviction is not admissible under this rule if the
38 conviction has been pardoned.

39 (d) Juvenile adjudications. – Evidence of juvenile adjudications is generally not
40 admissible under this rule. The court may, however, in a criminal case allow evidence of a
41 juvenile adjudication of a witness other than the accused if conviction of the offense would be
42 admissible to attack the credibility of an adult and the court is satisfied that admission in
43 evidence is necessary for a fair determination of the issue of guilt or innocence.

44 (e) Pendency of appeal. – The pendency of an appeal therefrom does not render
45 evidence of a conviction inadmissible. Evidence of the pendency of an appeal is admissible.
46 (1983, c. 701, s. 1; 1999-79, s. 1.)
47

48 **Rule 610. Religious beliefs or opinions.**

49 Evidence of the beliefs or opinions of a witness on matters of religion is not admissible for
50 the purpose of showing that by reason of their nature his credibility is impaired or enhanced;

1 provided, however, such evidence may be admitted for the purpose of showing interest or bias.
2 (1983, c. 701, s. 1.)
3

4 **Rule 611. Mode and order of interrogation and presentation.**

5 (a) Control by court. – The court shall exercise reasonable control over the mode and
6 order of interrogating witnesses and presenting evidence so as to (1) make the interrogation and
7 presentation effective for the ascertainment of the truth, (2) avoid needless consumption of
8 time, and (3) protect witnesses from harassment or undue embarrassment.

9 (b) Scope of cross-examination. – A witness may be cross-examined on any matter
10 relevant to any issue in the case, including credibility.

11 (c) Leading questions. – Leading questions should not be used on the direct
12 examination of a witness except as may be necessary to develop his testimony. Ordinarily
13 leading questions should be permitted on cross-examination. When a party calls a hostile
14 witness, an adverse party, or a witness identified with an adverse party, interrogation may be by
15 leading questions. (1983, c. 701, s. 1.)
16

17 **Rule 612. Writing or object used to refresh memory.**

18 (a) While testifying. – If, while testifying, a witness uses a writing or object to refresh
19 his memory, an adverse party is entitled to have the writing or object produced at the trial,
20 hearing, or deposition in which the witness is testifying.

21 (b) Before testifying. – If, before testifying, a witness uses a writing or object to refresh
22 his memory for the purpose of testifying and the court in its discretion determines that the
23 interests of justice so require, an adverse party is entitled to have those portions of any writing
24 or of the object which relate to the testimony produced, if practicable, at the trial, hearing, or
25 deposition in which the witness is testifying.

26 (c) Terms and conditions of production and use. – A party entitled to have a writing or
27 object produced under this rule is entitled to inspect it, to cross-examine the witness thereon,
28 and to introduce in evidence those portions which relate to the testimony of the witness. If
29 production of the writing or object at the trial, hearing, or deposition is impracticable, the court
30 may order it made available for inspection. If it is claimed that the writing or object contains
31 privileged information or information not directly related to the subject matter of the testimony,
32 the court shall examine the writing or object in camera, excise any such portions, and order
33 delivery of the remainder to the party entitled thereto. Any portion withheld over objections
34 shall be preserved and made available to the appellate court in the event of an appeal. If a
35 writing or object is not produced, made available for inspection, or delivered pursuant to order
36 under this rule, the court shall make any order justice requires, but in criminal cases if the
37 prosecution elects not to comply, the order shall be one striking the testimony or, if justice so
38 requires, declaring a mistrial. (1983, c. 701, s. 1.)
39

40 **Rule 613. Prior statements of witnesses.**

41 In examining a witness concerning a prior statement made by him, whether written or not,
42 the statement need not be shown nor its contents disclosed to him at that time, but on request
43 the same shall be shown or disclosed to opposing counsel. (1983, c. 701, s. 1.)
44

45 **Rule 614. Calling and interrogation of witnesses by court.**

46 (a) Calling by court. – The court may, on its own motion or at the suggestion of a party,
47 call witnesses, and all parties are entitled to cross-examine witnesses thus called.

48 (b) Interrogation by court. – The court may interrogate witnesses, whether called by
49 itself or by a party.

1 (c) Objections. – No objections are necessary with respect to the calling of a witness by
2 the court or to questions propounded to a witness by the court but it shall be deemed that proper
3 objection has been made and overruled. (1983, c. 701, s. 1.)
4

5 **Rule 615. Exclusion of witnesses.**

6 At the request of a party the court may order witnesses excluded so that they cannot hear
7 the testimony of other witnesses, and it may make the order of its own motion. This rule does
8 not authorize exclusion of (1) a party who is a natural person, or (2) an officer or employee of a
9 party that is not a natural person designated as its representative by its attorney, or (3) a person
10 whose presence is shown by a party to be essential to the presentation of his cause, or (4) a
11 person whose presence is determined by the court to be in the interest of justice. (1983, c. 701,
12 s. 1.)
13

14 **Rule 616. Alternative testimony of witnesses with developmental disabilities or mental
15 retardation in civil cases and special proceedings.**

16 (a) Definitions. – The following definitions apply to this section:

17 (1) The definitions set out in G.S. 122C-3.

18 (2) "Remote testimony" means a method by which a witness testifies outside of
19 an open forum and outside of the physical presence of a party or parties.

20 (b) Remote Testimony Authorized. – A person with a developmental disability or a
21 person with mental retardation who is competent to testify may testify by remote testimony in a
22 civil proceeding or special proceeding if the court determines by clear and convincing evidence
23 that the witness would suffer serious emotional distress from testifying in the presence of a
24 named party or parties or from testifying in an open forum and that the ability of the witness to
25 communicate with the trier of fact would be impaired by testifying in the presence of a named
26 party or parties or from testifying in an open forum.

27 (c) Hearing Procedure. – Upon motion of a party or the court's own motion, and for
28 good cause shown, the court shall hold an evidentiary hearing to determine whether to allow
29 remote testimony. The hearing shall be recorded unless recordation is waived by all parties.
30 The presence of the witness is not required at the hearing unless so ordered by the presiding
31 judge.

32 (d) Order. – An order allowing or disallowing the use of remote testimony shall state
33 the findings and conclusions of law that support the court's determination. An order allowing
34 the use of remote testimony also shall do all of the following:

35 (1) State the method by which the witness is to testify.

36 (2) List any individual or category of individuals allowed to be in or required to
37 be excluded from the presence of the witness during testimony.

38 (3) State any special conditions necessary to facilitate the cross-examination of
39 the witness.

40 (4) State any condition or limitation upon the participation of individuals in the
41 presence of the witness during the testimony.

42 (5) State any other conditions necessary for taking or presenting testimony.

43 (e) Testimony. – The method of remote testimony shall allow the trier of fact and all
44 parties to observe the demeanor of the witness as the witness testifies in a similar manner as if
45 the witness were testifying in the open forum. Except as provided in this section, the court shall
46 ensure that the counsel for all parties is physically present where the witness testifies and has a
47 full and fair opportunity for examination and cross-examination of the witness. In a proceeding
48 where a party is representing itself, the court may limit or deny the party from being physically
49 present during testimony if the court finds that the witness would suffer serious emotional
50 distress from testifying in the presence of the party. A party may waive the right to have
51 counsel physically present where the witness testifies.

1 (f) Nonexclusive Procedure and Standard. – Nothing in this section shall prohibit the
2 use or application of any other method or procedure authorized or required by law for the
3 introduction into evidence of statements or testimony of a person with a developmental
4 disability or a person with mental retardation. (2009-514, s. 1.)
5

6 Article 7.

7 Opinions and Expert Testimony.

8 **Rule 701. Opinion testimony by lay witness.**

9 If the witness is not testifying as an expert, his testimony in the form of opinions or
10 inferences is limited to those opinions or inferences which are (a) rationally based on the
11 perception of the witness and (b) helpful to a clear understanding of his testimony or the
12 determination of a fact in issue. (1983, c. 701, s. 1.)
13

14 **Rule 702. Testimony by experts.**

15 (a) If scientific, technical or other specialized knowledge will assist the trier of fact to
16 understand the evidence or to determine a fact in issue, a witness qualified as an expert by
17 knowledge, skill, experience, training, or education, may testify thereto in the form of an
18 opinion, or otherwise, if all of the following apply:

- 19 (1) The testimony is based upon sufficient facts or data.
20 (2) The testimony is the product of reliable principles and methods.
21 (3) The witness has applied the principles and methods reliably to the facts of
22 the case.

23 (a1) A witness, qualified under subsection (a) of this section and with proper foundation,
24 may give expert testimony solely on the issue of impairment and not on the issue of specific
25 alcohol concentration level relating to the following:

- 26 (1) The results of a Horizontal Gaze Nystagmus (HGN) Test when the test is
27 administered by a person who has successfully completed training in HGN.
28 (2) Whether a person was under the influence of one or more impairing
29 substances, and the category of such impairing substance or substances. A
30 witness who has received training and holds a current certification as a Drug
31 Recognition Expert, issued by the State Department of Health and Human
32 Services, shall be qualified to give the testimony under this subdivision.

33 (b) In a medical malpractice action as defined in G.S. 90-21.11, a person shall not give
34 expert testimony on the appropriate standard of health care as defined in G.S. 90-21.12 unless
35 the person is a licensed health care provider in this State or another state and meets the
36 following criteria:

- 37 (1) If the party against whom or on whose behalf the testimony is offered is a
38 specialist, the expert witness must:
39 a. Specialize in the same specialty as the party against whom or on
40 whose behalf the testimony is offered; or
41 b. Specialize in a similar specialty which includes within its specialty
42 the performance of the procedure that is the subject of the complaint
43 and have prior experience treating similar patients.
44 (2) During the year immediately preceding the date of the occurrence that is the
45 basis for the action, the expert witness must have devoted a majority of his
46 or her professional time to either or both of the following:
47 a. The active clinical practice of the same health profession in which
48 the party against whom or on whose behalf the testimony is offered,
49 and if that party is a specialist, the active clinical practice of the same
50 specialty or a similar specialty which includes within its specialty the

1 performance of the procedure that is the subject of the complaint and
2 have prior experience treating similar patients; or

3 b. The instruction of students in an accredited health professional
4 school or accredited residency or clinical research program in the
5 same health profession in which the party against whom or on whose
6 behalf the testimony is offered, and if that party is a specialist, an
7 accredited health professional school or accredited residency or
8 clinical research program in the same specialty.

9 (c) Notwithstanding subsection (b) of this section, if the party against whom or on
10 whose behalf the testimony is offered is a general practitioner, the expert witness, during the
11 year immediately preceding the date of the occurrence that is the basis for the action, must have
12 devoted a majority of his or her professional time to either or both of the following:

13 (1) Active clinical practice as a general practitioner; or

14 (2) Instruction of students in an accredited health professional school or
15 accredited residency or clinical research program in the general practice of
16 medicine.

17 (d) Notwithstanding subsection (b) of this section, a physician who qualifies as an
18 expert under subsection (a) of this Rule and who by reason of active clinical practice or
19 instruction of students has knowledge of the applicable standard of care for nurses, nurse
20 practitioners, certified registered nurse anesthetists, certified registered nurse midwives,
21 physician assistants, or other medical support staff may give expert testimony in a medical
22 malpractice action with respect to the standard of care of which he is knowledgeable of nurses,
23 nurse practitioners, certified registered nurse anesthetists, certified registered nurse midwives,
24 physician assistants licensed under Chapter 90 of the General Statutes, or other medical support
25 staff.

26 (e) Upon motion by either party, a resident judge of the superior court in the county or
27 judicial district in which the action is pending may allow expert testimony on the appropriate
28 standard of health care by a witness who does not meet the requirements of subsection (b) or
29 (c) of this Rule, but who is otherwise qualified as an expert witness, upon a showing by the
30 movant of extraordinary circumstances and a determination by the court that the motion should
31 be allowed to serve the ends of justice.

32 (f) In an action alleging medical malpractice, an expert witness shall not testify on a
33 contingency fee basis.

34 (g) This section does not limit the power of the trial court to disqualify an expert
35 witness on grounds other than the qualifications set forth in this section.

36 (h) Notwithstanding subsection (b) of this section, in a medical malpractice action as
37 defined in G.S. 90-21.11(2)b. against a hospital, or other health care or medical facility, a
38 person shall not give expert testimony on the appropriate standard of care as to administrative
39 or other nonclinical issues unless the person has substantial knowledge, by virtue of his or her
40 training and experience, about the standard of care among hospitals, or health care or medical
41 facilities, of the same type as the hospital, or health care or medical facility, whose actions or
42 inactions are the subject of the testimony situated in the same or similar communities at the
43 time of the alleged act giving rise to the cause of action.

44 (i) A witness qualified as an expert in accident reconstruction who has performed a
45 reconstruction of a crash, or has reviewed the report of investigation, with proper foundation
46 may give an opinion as to the speed of a vehicle even if the witness did not observe the vehicle
47 moving. (1983, c. 701, s. 1; 1995, c. 309, s. 1; 2006-253, s. 6; 2007-493, s. 5; 2011-283, s. 1.3;
48 2011-400, s. 4.)

49
50 **Rule 703. Bases of opinion testimony by experts.**

1 The facts or data in the particular case upon which an expert bases an opinion or inference
2 may be those perceived by or made known to him at or before the hearing. If of a type
3 reasonably relied upon by experts in the particular field in forming opinions or inferences upon
4 the subject, the facts or data need not be admissible in evidence. (1983, c. 701, s. 1.)
5

6 **Rule 704. Opinion on ultimate issue.**

7 Testimony in the form of an opinion or inference is not objectionable because it embraces
8 an ultimate issue to be decided by the trier of fact. (1983, c. 701, s. 1.)
9

10 **Rule 705. Disclosure of facts or data underlying expert opinion.**

11 The expert may testify in terms of opinion or inference and give his reasons therefor
12 without prior disclosure of the underlying facts or data, unless an adverse party requests
13 otherwise, in which event the expert will be required to disclose such underlying facts or data
14 on direct examination or voir dire before stating the opinion. The expert may in any event be
15 required to disclose the underlying facts or data on cross-examination. There shall be no
16 requirement that expert testimony be in response to a hypothetical question. (1983, c. 701, s. 1.)
17

18 **Rule 706. Court appointed experts.**

19 (a) Appointment. – The court may on its own motion or on the motion of any party
20 enter an order to show cause why expert witnesses should not be appointed, and may request
21 the parties to submit nominations. The court may appoint any expert witnesses agreed upon by
22 the parties, and may appoint witnesses of its own selection. An expert witness shall not be
23 appointed by the court unless he consents to act. A witness so appointed shall be informed of
24 his duties by the court in writing, a copy of which shall be filed with the clerk, or at a
25 conference in which the parties shall have opportunity to participate. A witness so appointed
26 shall advise the parties of his findings, if any; his deposition may be taken by any party; and he
27 may be called to testify by the court or any party. He shall be subject to cross-examination by
28 each party, including a party calling him as a witness.

29 (b) Compensation. – Expert witnesses so appointed are entitled to reasonable
30 compensation in whatever sum the court may allow. The compensation thus fixed is payable
31 from funds which may be provided by law in criminal cases and civil actions and proceedings
32 involving just compensation for the taking of property. In other civil actions and proceedings
33 the compensation shall be paid by the parties in such proportion and at such time as the court
34 directs, and thereafter charged in like manner as other costs.

35 (c) Disclosure of appointment. – In the exercise of its discretion, the court may
36 authorize disclosure to the jury of the fact that the court appointed the expert witness.

37 (d) Parties' experts of own selection. – Nothing in this rule limits the parties in calling
38 expert witnesses of their own selection. (1983, c. 701, s. 1.)
39

40 Article 8.

41 Hearsay.

42 **Rule 801. Definitions and exception for admissions of a party-opponent.**

43 The following definitions apply under this Article:

44 (a) Statement. – A "statement" is (1) an oral or written assertion or (2) nonverbal
45 conduct of a person, if it is intended by him as an assertion.

46 (b) Declarant. – A "declarant" is a person who makes a statement.

47 (c) Hearsay. – "Hearsay" is a statement, other than one made by the declarant while
48 testifying at the trial or hearing, offered in evidence to prove the truth of the matter asserted.

49 (d) Exception for Admissions by a Party-Opponent. – A statement is admissible as an
50 exception to the hearsay rule if it is offered against a party and it is (A) his own statement, in
51 either his individual or a representative capacity, or (B) a statement of which he has manifested

1 his adoption or belief in its truth, or (C) a statement by a person authorized by him to make a
2 statement concerning the subject, or (D) a statement by his agent or servant concerning a matter
3 within the scope of his agency or employment, made during the existence of the relationship or
4 (E) a statement by a coconspirator of such party during the course and in furtherance of the
5 conspiracy. (1983, c. 701, s. 1.)
6

7 **Rule 802. Hearsay rule.**

8 Hearsay is not admissible except as provided by statute or by these rules. (1983, c. 701, s.
9 1.)
10

11 **Rule 803. Hearsay exceptions; availability of declarant immaterial.**

12 The following are not excluded by the hearsay rule, even though the declarant is available
13 as a witness:

- 14 (1) Present Sense Impression. – A statement describing or explaining an event
15 or condition made while the declarant was perceiving the event or condition,
16 or immediately thereafter.
- 17 (2) Excited Utterance. – A statement relating to a startling event or condition
18 made while the declarant was under the stress of excitement caused by the
19 event or condition.
- 20 (3) Then Existing Mental, Emotional, or Physical Condition. – A statement of
21 the declarant's then existing state of mind, emotion, sensation, or physical
22 condition (such as intent, plan, motive, design, mental feeling, pain, and
23 bodily health), but not including a statement of memory or belief to prove
24 the fact remembered or believed unless it relates to the execution,
25 revocation, identification, or terms of declarant's will.
- 26 (4) Statements for Purposes of Medical Diagnosis or Treatment. – Statements
27 made for purposes of medical diagnosis or treatment and describing medical
28 history, or past or present symptoms, pain, or sensations, or the inception or
29 general character of the cause or external source thereof insofar as
30 reasonably pertinent to diagnosis or treatment.
- 31 (5) Recorded Recollection. – A memorandum or record concerning a matter
32 about which a witness once had knowledge but now has insufficient
33 recollection to enable him to testify fully and accurately, shown to have been
34 made or adopted by the witness when the matter was fresh in his memory
35 and to reflect that knowledge correctly. If admitted, the memorandum or
36 record may be read into evidence but may not itself be received as an exhibit
37 unless offered by an adverse party.
- 38 (6) Records of Regularly Conducted Activity. – A memorandum, report, record,
39 or data compilation, in any form, of acts, events, conditions, opinions, or
40 diagnoses, made at or near the time by, or from information transmitted by, a
41 person with knowledge, if kept in the course of a regularly conducted
42 business activity, and if it was the regular practice of that business activity to
43 make the memorandum, report, record, or data compilation, all as shown by
44 the testimony of the custodian or other qualified witness, unless the source
45 of information or the method or circumstances of preparation indicate lack
46 of trustworthiness. The term "business" as used in this paragraph includes
47 business, institution, association, profession, occupation, and calling of
48 every kind, whether or not conducted for profit.
- 49 (7) Absence of Entry in Records Kept in Accordance with the Provisions of
50 Paragraph (6). – Evidence that a matter is not included in the memoranda,
51 reports, records, or data compilations, in any form, kept in accordance with

1 the provisions of paragraph (6), to prove the nonoccurrence or nonexistence
2 of the matter, if the matter was of a kind of which a memorandum, report,
3 record, or data compilation was regularly made and preserved, unless the
4 sources of information or other circumstances indicate lack of
5 trustworthiness.

- 6 (8) Public Records and Reports. – Records, reports, statements, or data
7 compilations, in any form, of public offices or agencies, setting forth (A) the
8 activities of the office or agency, or (B) matters observed pursuant to duty
9 imposed by law as to which matters there was a duty to report, excluding,
10 however, in criminal cases matters observed by police officers and other
11 law-enforcement personnel, or (C) in civil actions and proceedings and
12 against the State in criminal cases, factual findings resulting from an
13 investigation made pursuant to authority granted by law, unless the sources
14 of information or other circumstances indicate lack of trustworthiness.
- 15 (9) Records of Vital Statistics. – Records or data compilations, in any form, of
16 births, fetal deaths, deaths, or marriages, if the report thereof was made to a
17 public office pursuant to requirements of law.
- 18 (10) Absence of Public Record or Entry. – To prove the absence of a record,
19 report, statement, or data compilation, in any form, or the nonoccurrence or
20 nonexistence of a matter of which a record, report, statement, or data
21 compilation, in any form, was regularly made and preserved by a public
22 office or agency, evidence in the form of a certification in accordance with
23 Rule 902, or testimony, that diligent search failed to disclose the record,
24 report, statement, or data compilation, or entry.
- 25 (11) Records of Religious Organizations. – Statements of births, marriages,
26 divorces, deaths, legitimacy, ancestry, relationship by blood or marriage, or
27 other similar facts of personal or family history, contained in a regularly kept
28 record of a religious organization.
- 29 (12) Marriage, Baptismal, and Similar Certificates. – Statements of fact contained
30 in a certificate that the maker performed a marriage or other ceremony or
31 administered a sacrament, made by a clergyman, public official, or other
32 person authorized by the rules or practices of a religious organization or by
33 law to perform the act certified, and purporting to have been issued at the
34 time of the act or within a reasonable time thereafter.
- 35 (13) Family Records. – Statements of fact concerning personal or family history
36 contained in family Bibles, genealogies, charts, engravings on rings,
37 inscriptions on family portraits, engravings on urns, crypts, or tombstones, or
38 the like.
- 39 (14) Records of Documents Affecting an Interest in Property. – The record of a
40 document purporting to establish or affect an interest in property, as proof of
41 the content of the original recorded document and its execution and delivery
42 by each person by whom it purports to have been executed, if the record is a
43 record of a public office and an applicable statute authorizes the recording of
44 documents of that kind in that office.
- 45 (15) Statements in Documents Affecting an Interest in Property. – A statement
46 contained in a document purporting to establish or affect an interest in
47 property if the matter stated was relevant to the purpose of the document,
48 unless dealings with the property since the document was made have been
49 inconsistent with the truth of the statement or the purport of the document.
- 50 (16) Statements in Ancient Documents. – Statements in a document in existence
51 20 years or more the authenticity of which is established.

- 1 (17) Market Reports, Commercial Publications. – Market quotations, tabulations,
2 lists, directories, or other published compilations, generally used and relied
3 upon by the public or by persons in particular occupations.
- 4 (18) Learned Treatises. – To the extent called to the attention of an expert witness
5 upon cross-examination or relied upon by him in direct examination,
6 statements contained in published treatises, periodicals, or pamphlets on a
7 subject of history, medicine, or other science or art, established as a reliable
8 authority by the testimony or admission of the witness or by other expert
9 testimony or by judicial notice. If admitted, the statements may be read into
10 evidence but may not be received as exhibits.
- 11 (19) Reputation Concerning Personal or Family History. – Reputation among
12 members of his family by blood, adoption, or marriage, or among his
13 associates, or in the community, concerning a person's birth, adoption,
14 marriage, divorce, death, legitimacy, relationship by blood, adoption, or
15 marriage, ancestry, or other similar fact of his personal or family history.
- 16 (20) Reputation Concerning Boundaries or General History. – Reputation in a
17 community, arising before the controversy, as to boundaries of or customs
18 affecting lands in the community, and reputation as to events of general
19 history important to the community or state or nation in which located.
- 20 (21) Reputation as to Character. – Reputation of a person's character among his
21 associates or in the community.
- 22 (22) (Reserved).
- 23 (23) Judgment as to Personal, Family or General History, or Boundaries. –
24 Judgments as proof of matters of personal, family or general history, or
25 boundaries, essential to the judgment, if the same would be provable by
26 evidence of reputation.
- 27 (24) Other Exceptions. – A statement not specifically covered by any of the
28 foregoing exceptions but having equivalent circumstantial guarantees of
29 trustworthiness, if the court determines that (A) the statement is offered as
30 evidence of a material fact; (B) the statement is more probative on the point
31 for which it is offered than any other evidence which the proponent can
32 procure through reasonable efforts; and (C) the general purposes of these
33 rules and the interests of justice will best be served by admission of the
34 statement into evidence. However, a statement may not be admitted under
35 this exception unless the proponent of it gives written notice stating his
36 intention to offer the statement and the particulars of it, including the name
37 and address of the declarant, to the adverse party sufficiently in advance of
38 offering the statement to provide the adverse party with a fair opportunity to
39 prepare to meet the statement. (1983, c. 701, s. 1.)
40

41 **Rule 804. Hearsay exceptions; declarant unavailable.**

42 (a) Definition of unavailability. – "Unavailability as a witness" includes situations in
43 which the declarant:

- 44 (1) Is exempted by ruling of the court on the ground of privilege from testifying
45 concerning the subject matter of his statement; or
- 46 (2) Persists in refusing to testify concerning the subject matter of his statement
47 despite an order of the court to do so; or
- 48 (3) Testifies to a lack of memory of the subject matter of his statement; or
- 49 (4) Is unable to be present or to testify at the hearing because of death or then
50 existing physical or mental illness or infirmity; or

- 1 (5) Is absent from the hearing and the proponent of his statement has been
2 unable to procure his attendance (or in the case of a hearsay exception under
3 subdivision (b)(2), (3), or (4), his attendance or testimony) by process or
4 other reasonable means.

5 A declarant is not unavailable as a witness if his exemption, refusal, claim of lack of
6 memory, inability, or absence is due to the procurement or wrongdoing of the proponent of his
7 statement for the purpose of preventing the witness from attending or testifying.

8 (b) Hearsay exceptions. – The following are not excluded by the hearsay rule if the
9 declarant is unavailable as a witness:

- 10 (1) Former Testimony. – Testimony given as a witness at another hearing of the
11 same or a different proceeding, or in a deposition taken in compliance with
12 law in the course of the same or another proceeding, if the party against
13 whom the testimony is now offered, or, in a civil action or proceeding, a
14 predecessor in interest, had an opportunity and similar motive to develop the
15 testimony by direct, cross, or redirect examination.
- 16 (2) Statement Under Belief of Impending Death. – A statement made by a
17 declarant while believing that his death was imminent, concerning the cause
18 or circumstances of what he believed to be his impending death.
- 19 (3) Statement Against Interest. – A statement which was at the time of its
20 making so far contrary to the declarant's pecuniary or proprietary interest, or
21 so far tended to subject him to civil or criminal liability, or to render invalid
22 a claim by him against another, that a reasonable man in his position would
23 not have made the statement unless he believed it to be true. A statement
24 tending to expose the declarant to criminal liability is not admissible in a
25 criminal case unless corroborating circumstances clearly indicate the
26 trustworthiness of the statement.
- 27 (4) Statement of Personal or Family History. – (A) A statement concerning the
28 declarant's own birth, adoption, marriage, divorce, legitimacy, relationship
29 by blood, adoption, or marriage, ancestry, or other similar fact of personal or
30 family history, even though declarant had no means of acquiring personal
31 knowledge of the matter stated; or (B) a statement concerning the foregoing
32 matters, and death also, of another person, if the declarant was related to the
33 other by blood, adoption, or marriage or was so intimately associated with
34 the other's family as to be likely to have accurate information concerning the
35 matter declared.
- 36 (5) Other Exceptions. – A statement not specifically covered by any of the
37 foregoing exceptions but having equivalent circumstantial guarantees of
38 trustworthiness, if the court determines that (A) the statement is offered as
39 evidence of a material fact; (B) the statement is more probative on the point
40 for which it is offered than any other evidence which the proponent can
41 procure through reasonable efforts; and (C) the general purposes of these
42 rules and the interests of justice will best be served by admission of the
43 statement into evidence. However, a statement may not be admitted under
44 this exception unless the proponent of it gives written notice stating his
45 intention to offer the statement and the particulars of it, including the name
46 and address of the declarant, to the adverse party sufficiently in advance of
47 offering the statement to provide the adverse party with a fair opportunity to
48 prepare to meet the statement. (1983, c. 701, s. 1.)
49

50 **Rule 805. Hearsay within hearsay.**

1 Hearsay included within hearsay is not excluded under the hearsay rule if each part of the
2 combined statements conforms with an exception to the hearsay rule provided in these rules.
3 (1983, c. 701, s. 1.)
4

5 **Rule 806. Attacking and supporting credibility of declarant.**

6 When a hearsay statement has been admitted in evidence, the credibility of the declarant
7 may be attacked, and if attacked may be supported, by any evidence which would be
8 admissible for those purposes if declarant had testified as a witness. Evidence of a statement or
9 conduct by the declarant at any time, inconsistent with his hearsay statement, is not subject to
10 any requirement that he may have been afforded an opportunity to deny or explain. If the party
11 against whom a hearsay statement has been admitted calls the declarant as a witness, the party
12 is entitled to examine him on the statement as if under cross-examination. (1983, c. 701, s. 1.)
13

14 Article 9.

15 Authentication and Identification.

16 **Rule 901. Requirement of authentication or identification.**

17 (a) General provision. – The requirement of authentication or identification as a
18 condition precedent to admissibility is satisfied by evidence sufficient to support a finding that
19 the matter in question is what its proponent claims.

20 (b) Illustrations. – By way of illustration only, and not by way of limitation, the
21 following are examples of authentication or identification conforming with the requirements of
22 this rule:

- 23 (1) Testimony of Witness with Knowledge. – Testimony that a matter is what it
24 is claimed to be.
- 25 (2) Nonexpert Opinion on Handwriting. – Nonexpert opinion as to the
26 genuineness of handwriting, based upon familiarity not acquired for
27 purposes of the litigation.
- 28 (3) Comparison by Trier or Expert Witness. – Comparison by the trier of fact or
29 by expert witnesses with specimens which have been authenticated.
- 30 (4) Distinctive Characteristics and the Like. – Appearance, contents, substance,
31 internal patterns, or other distinctive characteristics, taken in conjunction
32 with circumstances.
- 33 (5) Voice Identification. – Identification of a voice, whether heard firsthand or
34 through mechanical or electronic transmission or recording, by opinion
35 based upon hearing the voice at any time under circumstances connecting it
36 with the alleged speaker.
- 37 (6) Telephone Conversations. – Telephone conversations, by evidence that a call
38 was made to the number assigned at the time by the telephone company to a
39 particular person or business, if (A) in the case of a person, circumstances,
40 including self-identification, show the person answering to be the one called,
41 or (B) in the case of a business, the call was made to a place of business and
42 the conversation related to business reasonably transacted over the
43 telephone.
- 44 (7) Public Records or Reports. – Evidence that a writing authorized by law to be
45 recorded or filed and in fact recorded or filed in a public office, or a
46 purported public record, report, statement, or data compilation, in any form,
47 is from the public office where items of this nature are kept.
- 48 (8) Ancient Documents or Data Compilations. – Evidence that a document or
49 data compilation, in any form, (A) is in such condition as to create no
50 suspicion concerning its authenticity, (B) was in a place where it, if

1 authentic, would likely be, and (C) has been in existence 20 years or more at
2 the time it is offered.

- 3 (9) Process or System. – Evidence describing a process or system used to
4 produce a result and showing that the process or system produces an
5 accurate result.
6 (10) Methods Provided by Statute. – Any method of authentication or
7 identification provided by statute. (1983, c. 701, s. 1.)
8

9 **Rule 902. Self-authentication.**

10 Extrinsic evidence of authenticity as a condition precedent to admissibility is not required
11 with respect to the following:

- 12 (1) Domestic Public Documents Under Seal. – A document bearing a seal
13 purporting to be that of the United States, or of any state, district,
14 commonwealth, territory or insular possession thereof, or the Trust Territory
15 of the Pacific Islands, or of a political subdivision, department, officer, or
16 agency thereof, and a signature purporting to be an attestation or execution.
17 (2) Domestic Public Documents Not Under Seal. – A document purporting to
18 bear the signature in his official capacity of an officer or employee of any
19 entity included in paragraph (1) hereof, having no seal, if a public officer
20 having a seal and having official duties in the district or political subdivision
21 of the officer or employee certifies under seal that the signer has the official
22 capacity and that the signature is genuine.
23 (3) Foreign Public Documents. – A document purporting to be executed or
24 attested in his official capacity by a person authorized by the laws of a
25 foreign country to make the execution or attestation, and accompanied by a
26 final certification as to the genuineness of the signature and official position
27 (A) of the executing or attesting person, or (B) of any foreign official whose
28 certificate of genuineness of signature and official position relates to the
29 execution or attestation or is in a chain of certificates of genuineness of
30 signature and official position relating to the execution or attestation. A final
31 certification may be made by a secretary of embassy or legation, consul
32 general, consul, vice consul, or consular agent of the United States, or a
33 diplomatic or consular official of the foreign country assigned or accredited
34 to the United States. If reasonable opportunity has been given to all parties to
35 investigate the authenticity and accuracy of official documents, the court
36 may, for good cause shown, order that they be treated as presumptively
37 authentic without final certification or permit them to be evidenced by an
38 attested summary with or without final certification.
39 (4) Certified Copies of Public Records. – A copy of an official record or report
40 or entry therein, or of a document authorized by law to be recorded or filed
41 and actually recorded or filed in a public office, including data compilations
42 in any form, certified as correct by the custodian or other person authorized
43 to make the certification, by certificate complying with paragraph (1), (2), or
44 (3) or complying with any law of the United States or of this State.
45 (5) Official Publications. – Books, pamphlets, or other publications purporting
46 to be issued by public authority.
47 (6) Newspapers and Periodicals. – Printed materials purporting to be
48 newspapers or periodicals.
49 (7) Trade Inscriptions and the Like. – Inscriptions, signs, tags, or labels
50 purporting to have been affixed in the course of business and indicating
51 ownership, control, or origin.

- 1 (8) Acknowledged Documents. – Documents accompanied by a certificate of
2 acknowledgment executed in the manner provided by law by a notary public
3 or other officer authorized by law to take acknowledgments.
4 (9) Commercial Paper and Related Documents. – Commercial paper, signatures
5 thereon, and documents relating thereto to the extent provided by general
6 commercial law.
7 (10) Presumptions Created by Law. – Any signature, document, or other matter
8 declared by any law of the United States or of this State to be presumptively
9 or prima facie genuine or authentic. (1983, c. 701, s. 1.)
10

11 **Rule 903. Subscribing witness' testimony unnecessary.**

12 The testimony of a subscribing witness is not necessary to authenticate a writing unless
13 required by the laws of the jurisdiction whose laws govern the validity of the writing. (1983, c.
14 701, s. 1.)
15

16 Article 10.

17 Contents of Writings, Recordings and Photographs.

18 **Rule 1001. Definitions.**

19 For the purposes of this Article the following definitions are applicable:

- 20 (1) Writings and Recordings. – "Writings" and "recordings" consist of letters,
21 words, sounds, or numbers, or their equivalent, set down by handwriting,
22 typewriting, printing, photostating, photographing, magnetic impulse,
23 mechanical or electronic recording, or other form of data compilation.
24 (2) Photographs. – "Photographs" include still photographs, x-ray films, video
25 tapes, and motion pictures.
26 (3) Original. – An "original" of a writing or recording is the writing or recording
27 itself or any counterpart intended to have the same effect by a person
28 executing or issuing it. An "original" of a photograph includes the negative
29 or any print therefrom. If data are stored in a computer or similar device, any
30 printout or other output readable by sight, shown to reflect the data
31 accurately, is an "original."
32 (4) Duplicate. – A "duplicate" is a counterpart produced by the same impression
33 as the original, or from the same matrix, or by means of photography,
34 including enlargements and miniatures, or by mechanical or electronic
35 re-recording, or by chemical reproduction, or by other equivalent techniques
36 which accurately reproduce the original. (1983, c. 701, s. 1.)
37

38 **Rule 1002. Requirement of original.**

39 To prove the content of a writing, recording, or photograph, the original writing, recording,
40 or photograph is required, except as otherwise provided in these rules or by statute. (1983, c.
41 701, s. 1.)
42

43 **Rule 1003. Admissibility of duplicates.**

44 A duplicate is admissible to the same extent as an original unless (1) a genuine question is
45 raised as to the authenticity of the original or (2) in the circumstances it would be unfair to
46 admit the duplicate in lieu of the original. (1983, c. 701, s. 1.)
47

48 **Rule 1004. Admissibility of other evidence of contents.**

49 The original is not required, and other evidence of the contents of a writing, recording, or
50 photograph is admissible if:

- 1 (1) Originals Lost or Destroyed. – All originals are lost or have been destroyed,
2 unless the proponent lost or destroyed them in bad faith; or
- 3 (2) Original Not Obtainable. – No original can be obtained by any available
4 judicial process or procedure; or
- 5 (3) Original in Possession of Opponent. – At a time when an original was under
6 the control of a party against whom offered, he was put on notice, by the
7 pleadings or otherwise, that the contents would be a subject of proof at the
8 hearing, and he does not produce the original at the hearing; or
- 9 (4) Collateral Matters. – The writing, recording, or photograph is not closely
10 related to a controlling issue. (1983, c. 701, s. 1.)
11

12 **Rule 1005. Public records.**

13 The contents of an official record, or of a document authorized to be recorded or filed and
14 actually recorded or filed, including data compilations in any form, if otherwise admissible,
15 may be proved by copy, certified as correct in accordance with Rule 902 or testified to be
16 correct by a witness who has compared it with the original. If a copy which complies with the
17 foregoing cannot be obtained by the exercise of reasonable diligence, then other evidence of the
18 contents may be given. (1983, c. 701, s. 1.)
19

20 **Rule 1006. Summaries.**

21 The contents of voluminous writings, recordings, or photographs which cannot
22 conveniently be examined in court may be presented in the form of a chart, summary, or
23 calculation. The originals, or duplicates, shall be made available for examination or copying, or
24 both, by other parties at a reasonable time and place. The court may order that they be produced
25 in court. (1983, c. 701, s. 1.)
26

27 **Rule 1007. Testimony or written admission of party.**

28 Contents of writings, recordings, or photographs may be proved by the testimony or
29 deposition of the party against whom offered or by his written admission, without accounting
30 for the nonproduction of the original. (1983, c. 701, s. 1.)
31

32 **Rule 1008. Functions of court and jury.**

33 When the admissibility of other evidence of contents of writings, recordings, or
34 photographs under these rules depends upon the fulfillment of a condition of fact, the question
35 whether the condition has been fulfilled is ordinarily for the court to determine in accordance
36 with the provisions of Rule 104. However, when an issue is raised (a) whether the asserted
37 writing ever existed, or (b) whether another writing, recording, or photograph produced at the
38 trial is the original, or (c) whether other evidence of contents correctly reflects the contents, the
39 issue is for the trier of fact to determine as in the case of other issues of fact. (1983, c. 701, s.
40 1.)
41

42 Article 11.

43 Miscellaneous Rules.

44 **Rule 1101. Applicability of rules.**

45 (a) Proceedings generally. – Except as otherwise provided in subdivision (b) or by
46 statute, these rules apply to all actions and proceedings in the courts of this State.

47 (b) Rules inapplicable. – The rules other than those with respect to privileges do not
48 apply in the following situations:

- 49 (1) Preliminary Questions of Fact. – The determination of questions of fact
50 preliminary to admissibility of evidence when the issue is to be determined
51 by the court under Rule 104(a).

- 1 (2) Grand Jury. – Proceedings before grand juries.
2 (3) Miscellaneous Proceedings. – Proceedings for extradition or rendition; first
3 appearance before district court judge or probable cause hearing in criminal
4 cases; sentencing, or granting or revoking probation; issuance of warrants
5 for arrest, criminal summonses, and search warrants; proceedings with
6 respect to release on bail or otherwise.
7 (4) Contempt Proceedings. – Contempt proceedings in which the court is
8 authorized by law to act summarily. (1983, c. 701, s. 1; 1983 (Reg. Sess.,
9 1984), c. 1037, s. 14; 1985, c. 509, s. 2.)

10

11 **Rule 1102. Short title.**

12 These rules shall be known and may be cited as the "North Carolina Rules of Evidence."
13 (1983, c. 701, s. 1.)